

10/584033
60158-286 PUST

AP20 Rec'd PCT/PTO 22 JUN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Holden

Serial No.: Unknown

Filing Date: Herewith

Title: INDENTED TUBE FOR A HEAT EXCHANGER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(e)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PTO form 1449 is submitted herewith pursuant to the provisions of 37 CFR 1.97 and 1.98(a) as a means of complying with the requirements of 37 CFR 1.56 with respect to the above-identified application. In accordance with Patent Office guidelines, copies of the foreign citations listed on PTO 1449 are enclosed. None of these references discloses the invention claimed in the subject application. Also enclosed is the International Search Report.

Pursuant to 37 C.F.R. 1.97(e)(1), each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement. Applicant respectfully requests the Examiner to consider the enclosed references on PTO-1449 submitted herewith.

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No fees are seen to be required. If any additional fees are due, however, the Commissioner is authorized to charge Deposit Account No. 50-1482, in the name of Carlson, Gaskey & Olds, P.C., for any additional fees or credit the account for any overpayment. Therefore, favorable reconsideration and allowance of this application is respectfully requested.

Respectfully submitted,

CARLSON, GASKEY & OLDS, P.C.



Karin H. Butchko
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Dated: June 22, 2006

CERTIFICATE OF MAILING

I hereby certify that the attached IDS, References Cited, Form PTO-1449 and Search Report are being deposited with the U.S. Postal Service as First Class Mailing, postage prepaid, in an envelope addressed to Mail Stop – PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 22, 2006.



Amy M. Spaulding

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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/1584033
		Filing Date	
		First Named Inventor	Holden
		Art Unit	
		Examiner Name	
Sheet	1	of	2
		Attorney Docket Number	60158-286 PUS1

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		EP 1 113 237	07-04-2001	Wieland-Werke		
		WO 86/01441	03-13-1986	Dietzel		
		EP 1 221 579	07-10-2002	Metallwerk		
		JP 58035023	03-01-1983	Masaru		
		JP 59035834	02-27-1984	Seisakusho		
		JP 07218037	08-18-1995	Furukawa Electric		

Examiner Signature		Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	Herewith <i>161584033</i>
(use as many sheets as necessary)		Filing Date	Herewith
		First Named Inventor	Holden
		Group Art Unit	
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number	60158-286 PUSI

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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